

# Archived Document

This archived document is no longer being reviewed through the CLSI Consensus Document Development Process. However, this document is technically valid as of January 2020. Because of its value to the laboratory community, it is being retained in CLSI's library.



3rd Edition

## MM03

# Molecular Diagnostic Methods for Infectious Diseases

This report addresses topics relating to clinical applications, amplified and nonamplified nucleic acid methods, selection and qualification of nucleic acid sequences, establishment and evaluation of test performance characteristics, inhibitors, and interfering substances, controlling false-positive reactions, reporting and interpretation of results, quality assurance, regulatory issues, and recommendations for manufacturers and clinical laboratories.

A CLSI report for global application.

# Clinical and Laboratory Standards Institute

*Setting the standard for quality in medical laboratory testing around the world.*

The Clinical and Laboratory Standards Institute (CLSI) is a not-for-profit membership organization that brings together the varied perspectives and expertise of the worldwide laboratory community for the advancement of a common cause: to foster excellence in laboratory medicine by developing and implementing medical laboratory standards and guidelines that help laboratories fulfill their responsibilities with efficiency, effectiveness, and global applicability.

## **Consensus Process**

Consensus—the substantial agreement by materially affected, competent, and interested parties—is core to the development of all CLSI documents. It does not always connote unanimous agreement, but does mean that the participants in the development of a consensus document have considered and resolved all relevant objections and accept the resulting agreement.

## **Commenting on Documents**

CLSI documents undergo periodic evaluation and modification to keep pace with advancements in technologies, procedures, methods, and protocols affecting the laboratory or health care.

CLSI's consensus process depends on experts who volunteer to serve as contributing authors and/or as participants in the reviewing and commenting process. At the end of each comment period, the committee that developed the document is obligated to review all comments, respond in writing to all substantive comments, and revise the draft document as appropriate.

Comments on published CLSI documents are equally essential, and may be submitted by anyone, at any time, on any document. All comments are managed according to the consensus process by a committee of experts.

## **Appeals Process**

When it is believed that an objection has not been adequately considered and responded to, the process for appeals, documented in the CLSI Standards Development Policies and Processes, is followed.

All comments and responses submitted on draft and published documents are retained on file at CLSI and are available upon request.

## **Get Involved—Volunteer!**

Do you use CLSI documents in your workplace? Do you see room for improvement? Would you like to get involved in the revision process? Or maybe you see a need to develop a new document for an emerging technology? CLSI wants to hear from you. We are always looking for volunteers. By donating your time and talents to improve the standards that affect your own work, you will play an active role in improving public health across the globe.

For additional information on committee participation or to submit comments, contact CLSI.

Clinical and Laboratory Standards Institute  
950 West Valley Road, Suite 2500  
Wayne, PA 19087 USA  
P: +1.610.688.0100  
F: +1.610.688.0700  
[www.clsi.org](http://www.clsi.org)  
[standard@clsi.org](mailto:standard@clsi.org)

MM03, 3rd ed.  
February 2015  
Replaces MM03-A2

---

## Molecular Diagnostic Methods for Infectious Diseases

Stephen P. Day, PhD  
Cynthia L. Jackson, PhD  
Frederick S. Nolte, PhD, D(ABBM), F(AAM)  
Zivana Tezak-Fragale, PhD

### Abstract

Nucleic acid methods for the detection and characterization of microorganisms in clinical specimens are now firmly established in laboratory medicine. These methods offer opportunities for clinical laboratories to provide more rapid and accurate results, and have changed the practice of clinical microbiology and infectious diseases. Clinical and Laboratory Standards Institute document MM03—*Molecular Diagnostic Methods for Infectious Diseases* addresses topics relating to clinical applications, amplified and nonamplified nucleic acid methods, selection and qualification of nucleic acid sequences, establishment and evaluation of test performance characteristics, inhibitors, and interfering substances, controlling false-positive reactions, reporting and interpretation of results, QA, regulatory issues, and recommendations for manufacturers and clinical laboratories.

Clinical and Laboratory Standards Institute (CLSI). *Molecular Diagnostic Methods for Infectious Diseases*. 3rd ed. CLSI report MM03 (ISBN 1-56238-997-1 [Print]; ISBN 1-56238-998-X [Electronic]). Clinical and Laboratory Standards Institute, 950 West Valley Road, Suite 2500, Wayne, Pennsylvania 19087 USA, 2015.

The Clinical and Laboratory Standards Institute consensus process, which is the mechanism for moving a document through two or more levels of review by the health care community, is an ongoing process. Users should expect revised editions of any given document. Because rapid changes in technology may affect the procedures, methods, and protocols in a standard or guideline, users should replace outdated editions with the current editions of CLSI documents. Current editions are listed in the CLSI catalog and posted on our website at [www.clsi.org](http://www.clsi.org). If you or your organization is not a member and would like to become one, and to request a copy of the catalog, contact us at: Telephone: 610.688.0100; Fax: 610.688.0700; E-Mail: [customerservice@clsi.org](mailto:customerservice@clsi.org); Website: [www.clsi.org](http://www.clsi.org).



Copyright ©2015 Clinical and Laboratory Standards Institute. Except as stated below, any reproduction of content from a CLSI copyrighted standard, guideline, companion product, or other material requires express written consent from CLSI. All rights reserved. Interested parties may send permission requests to [permissions@clsi.org](mailto:permissions@clsi.org).

CLSI hereby grants permission to each individual member or purchaser to make a single reproduction of this publication for use in its laboratory procedure manual at a single site. To request permission to use this publication in any other manner, e-mail [permissions@clsi.org](mailto:permissions@clsi.org).

### **Suggested Citation**

CLSI. *Molecular Diagnostic Methods for Infectious Diseases*. 3rd ed. CLSI report MM03. Wayne, PA: Clinical and Laboratory Standards Institute; 2015.

### **Previous Editions:**

March 1994, December 1995, April 2005, February 2006

### **Archived:**

January 2020

ISBN 1-56238-997-1 (Print)  
ISBN 1-56238-998-X (Electronic)  
ISSN 1558-6502 (Print)  
ISSN 2162-2914 (Electronic)

Volume 35, Number 5

## Committee Membership

### Consensus Committee on Molecular Methods

**Frederick S. Nolte, PhD,**  
D(ABMM), F(AAM)  
**Chairholder**  
Medical University Hospital  
Authority  
USA

**Barbara Zehnbaauer, PhD,**  
FACMG, FACB  
**Vice-Chairholder**  
Centers for Disease Control and  
Prevention  
USA

Sherry A. Dunbar, PhD  
Luminex Corporation  
USA

Helen Fernandes, PhD  
Weill Cornell Medical College  
USA

Tina M. Hambuch, PhD  
Illumina, Inc.  
USA

Charles E. Hill, MD, PhD  
Emory University Hospital  
USA

Penny Keller, BS, MB(ASCP)  
Centers for Medicare & Medicaid  
Services  
USA

Thomas J. Lenk, PhD  
Celera Diagnostics  
USA

Yi-Wei Tang, MD, PhD,  
D(ABMM)  
Memorial Sloan Kettering Cancer  
Center  
USA

Zivana Tezak-Fragale, PhD  
FDA Center for Devices and  
Radiological Health  
USA

### Working Group on Molecular Diagnostic Methods for Infectious Diseases

Stephen P. Day, PhD  
USA

Cynthia L. Jackson, PhD  
Rhode Island Hospital  
USA

Frederick S. Nolte, PhD,  
D(ABMM), F(AAM)  
Medical University Hospital  
Authority  
USA

Zivana Tezak-Fragale, PhD  
FDA Center for Devices and  
Radiological Health  
USA

#### Staff

Clinical and Laboratory Standards  
Institute  
USA

Luann Ochs, MS  
*Senior Vice President – Operations*

Marcy Hackenbrack, MCM,  
M(ASCP)  
*Project Manager*

Megan L. Tertel, MA  
*Editorial Manager*

Joanne P. Christopher, MA  
*Editor*

Patrice E. Polgar  
*Editor*

### Acknowledgment

CLSI, the Consensus Committee on Molecular Methods, and the Working Group on Molecular Diagnostic Methods for Infectious Diseases gratefully acknowledge the authors of the previous edition of MM03 (*Molecular Diagnostic Methods for Infectious Diseases; Approved Guideline—Second Edition*), which has been minimally revised by the working group to develop this report:

Judy C. Arbique, ART(CSMLS), CLS(NCA)  
Franklin R. Cockerill, III, MD  
Peter J. Dailey, PhD, MPH  
David Hillyard, MD  
Sherrol McDonough, PhD  
Richard F. Meyer, PhD  
Frederick S. Nolte, PhD, D(ABMM), F(AAM)  
Roxanne G. Shively, MS

## Contents

Abstract.....	i
Committee Membership.....	iii
Foreword.....	vii
Chapter 1: Introduction.....	1
1.1    Scope.....	1
1.2    Background.....	2
1.3    Standard Precautions.....	2
1.4    Terminology.....	2
Chapter 2: Applications.....	9
2.1    Utility of Molecular Diagnostic Tests for Infectious Diseases.....	9
2.2    Screening or Initial Testing.....	10
2.3    Confirmatory and Supplemental Testing.....	11
Chapter 3: Specimen Collection, Transport, and Processing.....	13
Chapter 4: Contributors to False-Negative Results and Controls.....	15
4.1    Detection of Inhibitors and Interfering Substances.....	16
4.2    Inhibitory Samples.....	17
Chapter 5: Methods.....	19
5.1    Physical and Chemical Methods for Nucleic Acid Detection.....	19
5.2    Detection Formats.....	20
5.3    Nucleic Acid Amplification Technologies.....	23
5.4    Real-Time Polymerase Chain Reaction Instruments.....	26
Chapter 6: Selection and Qualification of Nucleic Acid Sequences.....	29
6.1    Target Region.....	29
6.2    Polymerase Chain Reaction Primer Sequence Selection.....	29
6.3    Hybridization Probe Sequence Selection.....	30
6.4    Fluorescence Resonance Energy Transfer Probes.....	31
6.5    Probe and Primer Forms and Purity.....	33
Chapter 7: Establishment and Evaluation of Performance Characteristics of Molecular Diagnostic Tests.....	35
7.1    Limit of Detection (Analytical Sensitivity).....	35
7.2    Analytical Specificity.....	36
7.3    Precision.....	36
7.4    Cutoff Values.....	36
7.5    Diagnostic Sensitivity.....	37
7.6    Diagnostic Specificity.....	37
7.7    Predictive Values.....	38
7.8    Diagnostic Accuracy.....	38
7.9    Diagnostic Value.....	38
7.10   Test Limitations.....	39
7.11   Implementation of US Food and Drug Administration–Cleared Tests.....	39
Chapter 8: Quality Assurance.....	41

**Contents (Continued)**

8.1	Laboratory Design and Practices .....	41
8.2	Instruments.....	43
8.3	Quality Assurance During Development of Molecular Diagnostic Tests.....	43
8.4	Control Materials .....	44
8.5	Selecting Organism Strains for Analytical Studies.....	46
8.6	Preparing Nucleic Acid Controls .....	46
8.7	Types of Testing During Assay Development.....	47
8.8	Quality Assurance for Implementation of Molecular Diagnostic Tests.....	54
8.9	Trend Analysis .....	55
8.10	Proficiency Testing.....	55
8.11	Controlling False-Positive Nucleic Acid Target Amplification Reactions.....	55
Chapter 9: Reporting of Results.....		61
9.1	Organism and Nucleic Acid Target .....	61
9.2	Equivocal Results .....	61
9.3	Reference Range .....	61
9.4	Critical Results.....	61
9.5	Test Limitations .....	61
9.6	Interpretation.....	62
9.7	Clarifying Statements .....	62
Chapter 10: Recommendations for Manufacturers and Clinical Laboratories.....		63
10.1	Regulatory Requirements .....	63
10.2	Recommendations to Assay Developers.....	63
10.3	Recommendations for Clinical Laboratories .....	64
10.4	Selection of Referral Laboratories .....	64
Chapter 11: Conclusion.....		66
Chapter 12: Supplemental Information.....		66
References.....		67
Additional References.....		73
Appendix. Nucleic Acid Amplification Technologies.....		74
The Quality Management System Approach .....		90
Related CLSI Reference Materials .....		92

## Foreword

MM03 was originally published as an approved guideline in 1995. It was the first of what was to become many CLSI molecular diagnostics guidelines, and the first molecular microbiology consensus guideline published. Molecular microbiology is the application of nucleic acid methods to the diagnosis and management of patients with infectious diseases. The field has advanced enormously since the publication of the first approved edition of MM03 and is now an integral part of laboratory medicine.

## Overview of Changes

With the change in format and category definitions for all CLSI documents, MM03 has been recategorized as a report and replaces MM03-A2. Although MM03 has been revised for the purpose of keeping information current, the revisions do not significantly affect the scope or purpose of the document, nor do they change the methodology used. Revisions to the document include:

- Formatting and template design have been updated to reflect current CLSI style.
- References to most trademarked products have been deleted.
- CLSI references have been updated to reflect current document numbers and editions.
- International Organization for Standardization definitions and references have been updated to reflect current editions.
- New test descriptions and figures have been added to the text and the appendix in order to reflect current technology.

**Note that the trade name TaqMan<sup>®</sup> is included as a reference to Figure 1 of this document. It is Clinical and Laboratory Standards Institute's policy to avoid using a trade name unless the product identified is the only one available, or it serves solely as an illustrative example of the procedure, practice, or material described. In this case, the working group and consensus committee believe the illustration derived from the published reference is an important descriptive adjunct to the document. In such cases, it is acceptable to use the product's trade name when the illustration is being reprinted from a referenced publication. It should be understood that information on this product in this document also applies to any equivalent products.**

## Key Words

Development, implementation, infectious disease, molecular methods, molecular microbiology, nucleic acid amplification, quality assurance, reporting, validation, verification

# Molecular Diagnostic Methods for Infectious Diseases

## Chapter 1: Introduction

This chapter includes:

- Document scope and applicable exclusions
- Background information pertinent to the document content
- Standard precautions information
- “Note on Terminology” that highlights particular use and/or variation in use of terms and/or definitions
- Terms and definitions used in the document
- Abbreviations and acronyms used in the document

### 1.1 Scope

This document describes general principles for the development, evaluation, and application of tests designed for direct detection of microorganisms in clinical specimens and for identification of microorganisms grown in culture. The document provides evidence-based recommendations, where appropriate.

The following content areas are addressed:

- Clinical applications
- Amplified and nonamplified nucleic acid methods
- Selection and qualification of nucleic acid sequences
- Establishment and evaluation of test performance characteristics, inhibitors, and interfering substances
- Controlling false-positive reactions
- Reporting and interpretation of results
- QA
- Regulatory issues
- Recommendations for manufacturers and clinical laboratories

This document is intended for use by clinical laboratories, test developers and manufacturers, and regulatory agencies. It is not intended to be a compilation of successful protocols for